

<b>Category</b>	Research and Scholarly Activities	<b>Policy Number</b>	ACA-RES-201	 جامعة زايد ZAYED UNIVERSITY
<b>Classification</b>	Public	<b>Version</b>	3.0	
<b>Responsible Office</b>	Provost	<b>Policy Owner</b>	OR	
<b>Date Approved</b>	22 August 2024	<b>Effective Date</b>	13 September 2024	
<b>Date Last Reviewed</b>	25 June 2024	<b>Due Date for Next Review</b>	22 August 2027	

## **POLICY**

### **Research Involving Human and Animal Subjects**

#### **1. Purpose**

This policy addresses Zayed University’s commitment to protecting the rights and wellbeing of human and animal subjects involved in research.

#### **2. Scope of Application**

This policy applies to all activities that involve research with human or animal subjects (in whole or in part) and if at least one of the following criteria is met:

- The research is conducted by or under the direction of faculty, staff, or students of Zayed University.
- The research is sponsored by Zayed University.
- The research is sponsored by an external entity with funds to be managed by Zayed University.
- The research is conducted by, sponsored by or under the direction of faculty, staff, or students of Zayed University using any property or facility of the University.
- The research involves the use of Zayed University’s nonpublic information to identify or contact human research subjects or prospective subjects.
- Zayed University undergraduate student research projects that are intended for dissemination beyond the classroom are covered by this policy.

#### **3. Definitions**

<b>OR</b>	Office of Research
<b>Provost</b>	Provost and Chief Academic Officer
<b>REC</b>	Research Ethics Committee REC is the University’s institutional review board
<b>University</b>	Zayed University

#### 4. Policy

- 4.1** All research procedures and protocols must protect and respect the rights of individual participants, issues of confidentiality, cultural sensitivities in U.A.E. society, and the reputation of Zayed University (“**University**”). Procedures must be designed and implemented with due care to avoid any legal action or embarrassment to the University. This policy is designed to ensure that both human and animal subjects are adequately protected during any research project conducted within or in connection with the University.
- 4.2** The Zayed University Research Ethics Committee (“**REC**”) will review all research proposals and protocols involving human subjects to ensure that the rights and welfare of the subjects are adequately protected and that matters of confidentiality are respected. Vulnerable subjects must be protected from undue influence or coercion to participate, and appropriate approval processes must be implemented. All activities must comply with University policies and By-Laws.
- 4.3** The REC will review all research proposals and protocols involving instructor-student research, where instructors use their own students as human subjects in their classroom-based or pedagogical-related research. Applications should mention that data will be collected in one (1) of two (2) ways:
- a) Collection of Data by a Third Party**  
The instructor/researcher arranges to have the consent forms and data collected by an independent third party, so the instructor/researcher does not know who participated, and does not have access to any identifiable data or the identity of participants for any purpose until after grades have been assigned and entered.
  - b) Collection of Data by the Instructor/Researcher**
    - i)** In situations where the collection of identifiable data by a third party is not feasible, the REC will require that the students’ written consent to use his/her own data (e.g., test results, papers written, homework, etc.) be obtained by a third party at the beginning of the semester. The third party holds onto the consent documentation until grades are entered, and by the instructor/researcher after grades have been entered.
    - ii)** In situations where anonymous data is to be collected (e.g., online, anonymous survey), the involvement of a third party is not required.
- 4.4** A University Committee on the Use of Animal Subjects in Research will review any research proposals and protocols involving animal subjects to ensure that the wellbeing of animal subjects used for research is adequately protected, with the aim of minimizing their experience of pain and distress, and ensuring that alternatives to the use of animal subjects have been adequately considered. All activities must comply with University policies and By-Laws.
- 4.5** The University Committee on the Use of Animal Subjects in Research will operate as a sub-committee of the REC.

- 5.** The University adheres to the following international and regional laws and regulations related to research in human and animal subjects:
- The Nuremberg Code

- Declaration of Helsinki
- The Belmont Report
- US Department of Health and Human Services Code 45CFR46
- UAE Department of Health, Healthcare Regulator Manual
- PHS Policy on Humane Care and Use of Laboratory Animals (revised 2015)
- Association for the Assessment and Accreditation of Laboratory Animal Care (AAALAC)
- Department of Health Standard on Human Subject Research (January) 2020.

**6. Related Policies and Laws**

N/A

**7. Administration**

This policy is administered by the OR.

**8. Revision History**

<b>Date</b>	<b>Revision</b>	<b>Ver.</b>
13 September 2024	Chair of the Board’s Decision issued (#22 of 2024).	
22 August 2024	Approved by ARSAC (BOT).	3.0
25 June 2024	Revised in accordance with ARSAC feedback (added DOH to section 5).	
28 May 2024	Endorsed by the Academic Council by circulation.	
1 May 2024	Revisions endorsed by the Provost’s Council: <ul style="list-style-type: none"> <li>• Added Definitions.</li> <li>• Added Article 4.3.</li> <li>• Added Section 5.</li> </ul>	
1 February 2023	Administrative change: <ul style="list-style-type: none"> <li>• Updated the information header to be in line with the new format.</li> <li>• Updated the policy number from ACA-RES-02 to ACA-RES-201.</li> </ul>	
24 September 2020	Non-substantive changes approved by the Provost.	2.1
5 August 2009	Addition of responsibility for use of animal subjects in research.	2.0
1 October 2000	New policy approved.	1.0